# The CMOS ASIC Lifetime Model (CALM) Cockpit API and Specification

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#### **Abstract**

The Aerospace Corporation, and the broader high reliability electronics community, are developing a growing suite of CMOS ASIC physics-of-failure reliability models.. A capability is needed to execute these models both independently and as integrated components in a system-of-systems model for full-chip lifetime reliability modeling. Furthermore, third parties are also developing PoF models which are to be shared among the high reliability electronics community and must be interoperable in a plug-and-play environment.

This document describes a specification and Application Programmer's Interface (API) of a framework that allows developers to produce models that execute both standalone and in concert with other, independently developed models. It also describes the CMOS ASIC Lifetime Model (CALM) Cockpit, an Excel workbook which allows users to add/remove individual models from a study, coordinates their execution, and consolidates their output.

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#### 1. Introduction

The Aerospace Corporation, and the broader high reliability electronics community, are developing a growing suite of CMOS ASIC physics-of-failure reliability models.. A capability is needed to execute these models both independently and as integrated components in a system-of-systems model for full-chip lifetime reliability modeling. Furthermore, third parties are also developing PoF models which are to be shared among the high reliability electronics community and must be interoperable in a plug-and-play environment.

This document describes a specification and Application Programmer's Interface (API) of a framework that allows developers to produce models that execute both standalone and in concert with other, independently developed models. It also describes the CMOS ASIC Lifetime Model (CALM) Cockpit, an Excel workbook which allows users to add/remove individual models from a study, coordinates their execution, and consolidates their output.

#### 1.1 Overview

When modeling CMOS full-chip reliability, we need to be able to represent individual failure mechanisms in fine detail as well as t an entire system of all failure mechanisms. That is, we must be able to execute and develop individual reliability subsystem models independently, in addition to executing them in concert.

The CALM Cockpit provides a specification for building individual subsystem reliability models that can also be coupled and executed as a collection of models to analyze overall chip lifetime reliability.

In this document we refer to individual subsystem models as federates. A collection of federates being run in concert is a federation. The execution of a federation is initiated, managed, and controlled by the CALM Cockpit.

#### 1.2 Modeling the Subsystems – Federates and Federations

A full-chip reliability model requires the modeling of several subsystems (federates). Each federate has its own behavior and failure modes and requires specific skillsets from the model developer.

Each federate must be able to be developed and used independently. Each federate will have its own specific algorithms to implement and output requirements. Each federate has significant value as a standalone model.

But each federate must also be able to perform in a federation. As such, it must also be capable of:

- Executing when instructed externally (by the CALM Cockpit)
- Receiving its inputs from the CALM Cockpit
- Sending high-level output to the CALM Cockpit

In order to support both standalone and federated execution, this document describes a specification and API that supports building federates that are unrestricted when executed in standalone mode, but also provide the outputs required for studying overall reliability of a federation.

Figure 1 below illustrates how a federate executes as a standalone model:

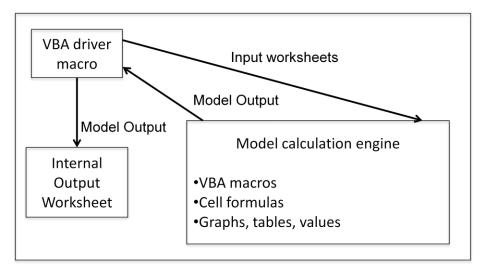


Figure 1 Federate as a Standalone Model

Figure 2 below illustrates a federate running under control of the CALM Cockpit:

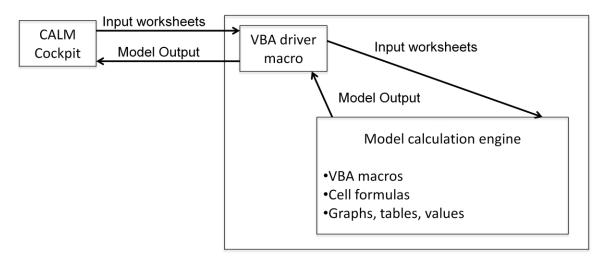


Figure 2: Federate Controlled by the CALM Cockpit

Finally, Figure 3 below shows the CALM Cockpit controlling a federation:

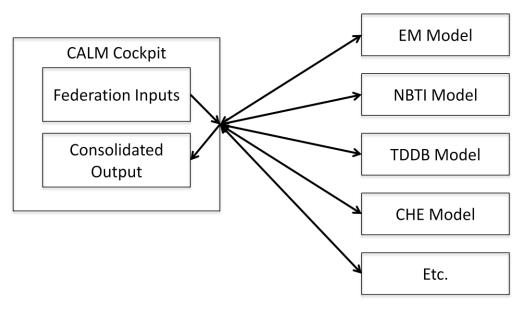


Figure 3: The CALM Cockpit Controlling a Federation

## 1.3 Controlling the Federation – The CALM Cockpit

This document also describes the plan for building the CALM Cockpit. The CALM Cockpit is an Excel workbook that controls a federation of subsystem models. The CALM Cockpit provides the following services of the analyst:

- Define a federation as a collection of federates (subsystem models)
- Provide inputs for each federate in the federation
- Provide inputs shared by all federates in the federation (i.e., timesteps, temperature data, on/off status of the chip, etc.)
- Execute each federate in the federation
- Collect time-stepped reliability output from each federate
- Consolidate federate outputs into overall reliability metrics, generate graphs and charts, etc.

#### 2. Federate Specification

The CALM federate specification is simple: your federate must receive its inputs in the form of Excel worksheets, it must provide an externally callable VBA macro for federate execution, and it must return output consisting of three columns of data.

One of the input worksheets contains global inputs. Global inputs are data that are shared by all federates in the federation, such as time step values. Other inputs worksheets may contain inputs specific to the federate.

Output consists of time-stepped reliability measures, including the Hazard Rate (FIT) Cumulative Hazard, and Failure Probability.

The specification spells out how your federate must be designed to execute its model whether these inputs come from worksheets in its workbook (standalone mode) or from worksheets passed in from the CALM Cockpit workbook (federated mode).

#### 2.1 The Federate API in a Nutshell

There are 3 essential elements to the CALM Cockpit federate API:

- 1. All user-editable inputs specific to the federate are contained in named ranges in Excel worksheets.
- 2. All time-stepped data shared by federates in a federation are contained in named ranges in a single Excel worksheet.
- 3. The federate must provide the following VBA macro to be called by the CALM Cockpit:

```
[No Name] + - GVIM

File Edit Tools Syntax Buffers Window Help

Runs the model

Input: collection of worksheets containing global and local input worksheets

Output: returns array of 3 columns containing time-stepped:

- hazard rate

- cumulative hazard

- failure probability

Public Function RunModelCockpit(ByRef externalWs As Sheets) As Variant()
```

Figure 4: Federate API in a Nutshell

This document describes the details of this API, as well as a specification for implementing models that support the API.

#### 2.2 Using Named Ranges for Input Values

Since the federate's input consists of worksheets, the federate must reference Excel cell values by range rather than cell address. A named range is one or more cells that have

been named within Excel. Named ranges are far more robust than cell addresses because they can withstand being cut/copied/pasted without losing referential integrity. Also, it frees the user to arrange input sheets as they see fit – they know the value for, say, impedance is in a range of a single cell named 'Impedance', and they do not care at which row/column address that cell resides.

#### 2.3 Local Inputs

Each federate may require one (or more) worksheets with particular names. For example, the NBTI workbook requires a worksheet named 'NbtiInput'. This worksheet contains all of the input values that someone running a federation is able to change. The NBTI input worksheet in the CALM Cockpit looks like:

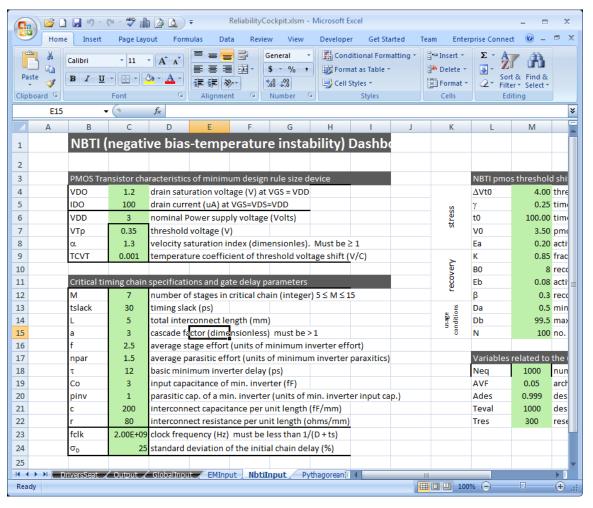


Figure 5: NBTI Inputs -- CALM Cockpit

Each value in the above worksheet resides in a cell that is assigned to a named range. The figure below shows the NBTI input sheet for the standalone workbook:

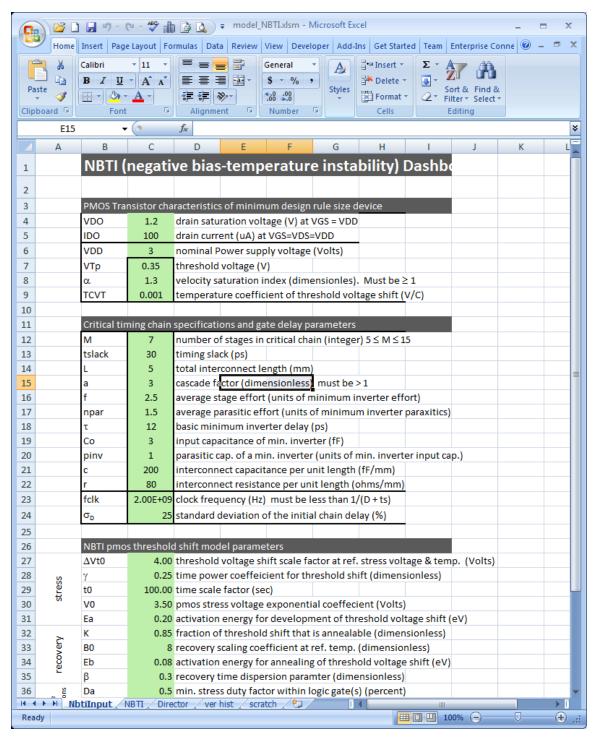


Figure 6: NBTI Inputs -- CALM Cockpit - Standalone

Note that these worksheets are laid out differently. The positions of the values are not important because each value is referenced by its named range. For example, the value for t0 is at address M6 in the CALM Cockpit, but in the standalone worksheet it is in cell C29. In both workbooks, these cells have been named "t0".

### 2.4 Global Inputs

Each CALM-compatible federate takes global input from a single worksheet named 'GlobalInput'. Currently, this worksheet contains:

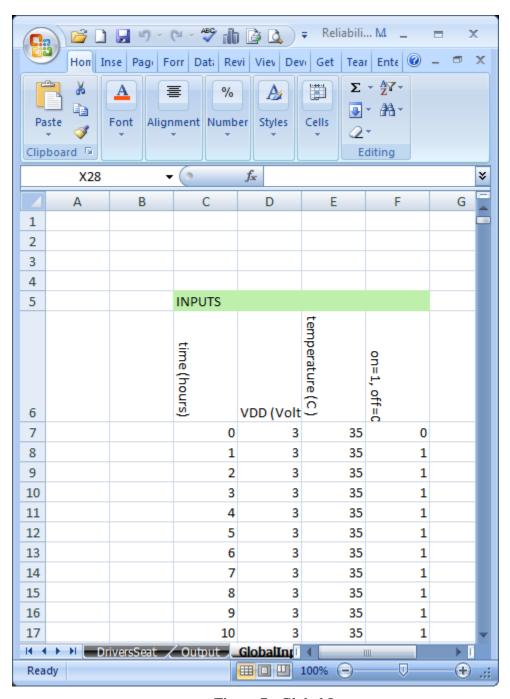


Figure 7: Global Inputs

This worksheet contains four named ranges:

- Cell C6 is named "time" it heads the column containing timesteps for the model
- Cell D6 is named "vdd" it heads the column containing supply voltage for the mode
- Cell E6 is named "temperature" it heads the column containing the temperature for each timestep
- Cell F6 is named "onOff" it heads the column containing the on/off state of the chip for each timestep

The figure above shows the CALM Cockpit's GlobalInput worksheet. When running standalone, the model will contain identically-named columns somewhere in the workbook.

#### 2.5 Output

Federate output consists of an array of time-stepped results that are returned from the main execution function. As an example for the electromigration federate the model outputs are shown below:

EM		
	EM cumulative	EM failure
EM hazard	hazard	probability
rate (FITs)	(dimensionless	(%)
0	0	0
1.6402E-133	1.6402E-142	0
4.0059E-124	4.0059E-133	0
8.9256E-119	8.9257E-128	0
5.0756E-115	5.0765E-124	0
4.1937E-112	4.1988E-121	0
1.0656E-109	1.0698E-118	0
1.2085E-107	1.2192E-116	0
7 5171F-106	7 639F-115	n

Figure 8: Federate Output

The array must have 2 dimensions, and the first row contains column headers. Note that the headers should include the federate's name, as these headers are used in labeling the CALM Cockpit's graphs.

Note that a federate may also produce all manner of internal output in the form of values, graphs, etc. to support detailed analysis when running in standalone mode. See section 2.8 for a discussion of internal output.

#### 2.6 Setting VBA Variables

The federate workbook defines worksheets as global variables to be used to set input values throughout the workbook. For example, the NBTI model defines two worksheets as global variables:

Figure 9: NBTI Glogal Variables

- Line 1: *NbtiInputWs* is a worksheet containing all user-editable input values that are specific to the NBTI model
- Line 2: *GlobalInputWs* is a worksheet containing global inputs such as timestep values.
- Lines 4-6: GetNbtiInputVal() is a function that may be called from worksheet cells to retrieve input values. For example, the formula '=GetNbtiInputVal("t0")' retrieves the value of t0 for use in cell calculations. VBA code may also use this function, or directly reference the value with the built-in VBA function 'NbtiInputWs.Range("t0").Value'
- Lines 8-10: GetGlobalInputVal() retrieves global input values

NOTE: The worksheets containing these inputs may be local to the model workbook, or passed in from another workbook (the CALM Cockpit). The model's computations and algorithms will not know or care where these input worksheets came from.

#### 2.7 Model Control and Execution with Excel Events

An Excel event is an action that causes VBA functions to be executed. For example, the workbook developer may create an event by mapping a button click to a VBA function call.

Excel offers a limited set of built-in events. These built-in events allow the developer to insert VBA code when particular Excel events occur, such as when the workbook is opened, when the user switches worksheets, etc.

In this API, two events are used to control the initialization and execution of the federate; Workbook Open Event and Run Model Event, both of which as discussed below.

#### 2.7.1 Workbook Open Event

When the workbook is opened, the built-in *Workbook\_Activate()* macro is called automatically by Excel. This event sets the input sheet variables to worksheets contained in the workbook. This sets the workbook's default mode to standalone:

```
[No Name] + - GVIM

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Public Sub Workbook_Activate()

Set NbtiInputWs = Application_ThisWorkbook_Sheets("NbtiInput")

Set GlobalInputWs = Application_ThisWorkbook_Sheets("Director")

4 Ind Sub
```

Figure 10: Open Workbook Event

Lines 2-3 above set the local and global input worksheets to sheets that are contained in the workbook. This guarantees that all formulas and VBA code that reference the inputs are able to execute since as soon as the workbook is opened.

#### 2.7.2 Run Model Event – Standalone

Executing the model is caused by a user action, typically a button click or menu item click. Excel allows you to associate a button (or menu item, hotkey, etc.) with a VBA macro. The screenshot below shows NBTI's model execution function, runLocal(), for executing the model in standalone mode:

```
[No Name] + - GVIM
                                                                                                        - - X
File Edit Tools Syntax Buffers Window Help
Tublic Sub runLocal()
       Application_Volatile True
       Dim result() As Variant
       result = runNhti
       Dim anchor As Range
       Set anchor = GlobalInputWs_Range("NBTI_result")
         clear existing results
       Dim temp() As Variant
       If anchor.value ♦ "" Then
temp = UtilMod.GetArrayFromContiguousColumn(anchor, 8)
           anchor_Resize(UBound(temp, 1) - LBound(temp, 1) + 1, UBound(result, 2) - LBound(result, 2) + 1).Clear
         write result
         strip headers off result when running local by copying all but the first row of result
       ReDim temp(LBound(result, 1) + 1 To UBound(result, 1), LBound(result, 2) To UBound(result, 2))
       For i = LBound(temp, 1) To UBound(temp, 1)
           Dim j As Integer
For j = LBound(temp, 2) To UBound(temp, 2)
               temp(i, j) = result(i, j)
24
       Next
25
       anchor_Resize(UBound(temp, 1) - LBound(temp, 1) + 1, UBound(temp, 2) - LBound(temp, 2) + 1)_value = temp
26 End Sub
```

Figure 11: Model Execution Standalone Example Macro

- Line 2 *Application.Volative* = *True* tells Excel to execute all cell formulas when this function is called.
- Line 3 calls the model execution function, *runNbti*, and captures the result in an array. Note that the array is type *Variant*. Though results are nominally all doubles, variant is used to accommodate column headers, NaN, etc. This *result* array is shown in Figure 8: Federate Output.
- Lines 6-13: In this case since the model is running in standalone mode, we want to write the output to the workbook. These lines clear existing output.
- Lines 15-25: Write the output to an internal worksheet.

#### 2.7.3 Run Model Event - Federate

The code below runs the NBTI model as a federate. This function is called externally by the CALM Cockpit workbook, passing in the CALM Cockpit's worksheets to be used as input worksheets. **This function must have the signature shown in line 1 below and reside in a module called RunModel**:

```
[No Name] + - GVIM

File Edit Iools Syntax Buffers Window Help

1 Public Function RunModelCockpit(ByRef externalWs As Sheets) As Variant()

2 Set NbtiInputWs = externalWs("NbtiInput")

3 Set GlobalInputWs = externalWs("GlobalInput")

4 Application.Volatile True

5 RunModelCockpit = runNbti

6 End Function
```

Figure 12: Model Execution Federate Example Macro

This function is somewhat simpler because we don't have to do anything with the output except return it to the CALM Cockpit.

- Lines 2-3: sets the input worksheet variables to those passed in by the CALM Cockpit.
- Line 4: update all cell formulas
- Line 5: calls the model execution macro, *runNbti*, returning the result to the CALM Cockpit.

#### 2.8 Intermediate Calculations, Charts, etc. in Standalone Mode

Of course most models will produce far more output than the few columns of reliability values returned to the CALM Cockpit. Also, intermediate calculations and results produced by the model's algorithm may have value to the analyst, even though they may not be of interest when executing a federation from the CALM Cockpit. It is up to the model developer to decide how to produce and represent this intermediate output. However, there are a couple of considerations to keep in mind when developing your model:

- Try to be efficient. Excessive computation in cells can be extremely slow compared to computation in VBA. Storing intermediate tables and values in cells might seem expedient, but try to avoid it unless the values benefit the analyst. Consider also adding an internal flag indicating whether your model is running in standalone or federated mode you may be able to avoid costly computation that is not relevant to the federation when running from the CALM Cockpit.
- When you open a workbook in standalone mode, be mindful that the output being displayed may be stale it may have last been run from the CALM Cockpit with a different set of inputs than currently resides locally in the workbook. For safety, consider adding a 'clear results' mechanism each time the workbook is opened, particularly for models that may be executed quickly.

## 2.9 Testing Your Federate

Given identical inputs, a federate should produce identical results when running standalone or in a federation. Verify this by:

- 1) Copy/paste your federate's local input sheet to the CALM Cockpit
- 2) Copy the time-stepped global input values from the federate to the CALM Cockpit's GlobalInput worksheet.
- 3) Execute the federate from the CALM Cockpit.
- 4) Open the federate workbook and execute it standalone.
- 5) Compare the 3-column output in the federate to the output in the CALM Cockpit.

See section 3.5 for a description of a tool for comparing data in two workbooks.

## 3. The CALM Cockpit

The CALM Cockpit is an Excel workbook that assembles and executes a federation of CMOS reliability models. This section provides a brief overview of how to use the CALM Cockpit.

The CALM Cockpit contains the following worksheets:



Figure 13: CALM Cockpit Worksheets

The black tabs are standard worksheets, and the blue tabs contain inputs that are particular to models in the federation. The worksheets shown above are as follows:

- DriversSeat: allows the analyst to:
  - o define which federates comprise the federation
  - o define the order in which they will be executed
  - execute the federation
- Output: collects output from each of the federates
- GlobalInput: contains time-stepped inputs shared by the federates
- EMInput: inputs specific to the Electromigration model
- NbtiInput: inputs specific to the NBTI model
- ...and additional tabs for other contributing failure models

#### 3.1 DriversSeat Worksheet -- Creating and Executing a Federation

The DriversSeat worksheet is shown below:

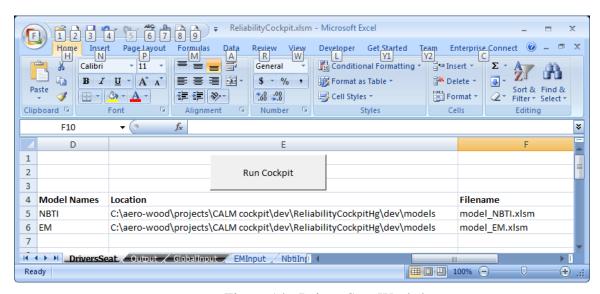


Figure 14: DriversSeat Worksheet

The analyst uses this sheet to list the federates in the federation in the order they are to be executed. Click the "Run Cockpit" button to execute the federation.

## 3.2 GlobalInput Worksheet - Shared Inputs

The GlobalInput worksheet is shown below:

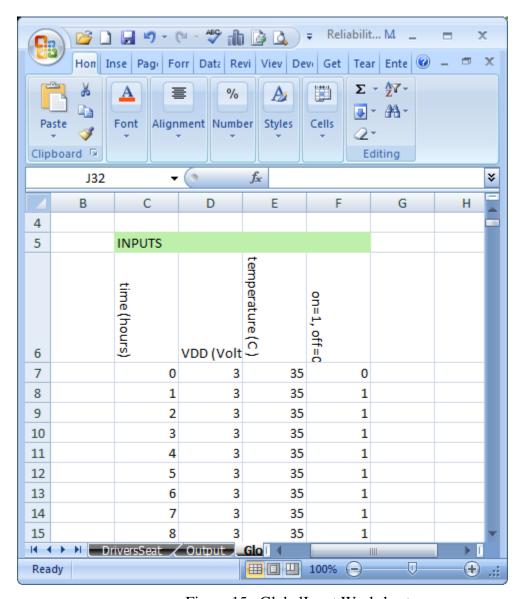


Figure 15: GlobalInput Worksheet

This data is shared by all federates. There may be any number of values in this table, but they must be sorted by increasing time (first column) and each row must be complete (no empty cells). The time step increment need not be consistent.

#### 3.3 Local Input Worksheets

This example federation is comprised of two federates, with each having a single worksheet containing its particular inputs. The EMInput sheet below contains inputs specific to the Electromigration model:

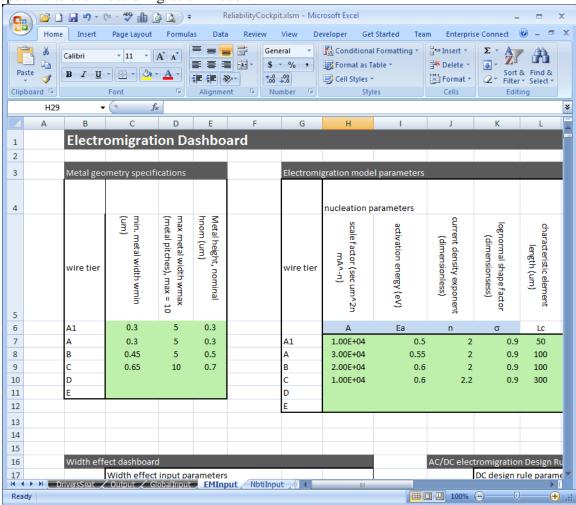


Figure 16: Local Input Worksheet (EM Example)

#### 3.4 Output Worksheet

The Output worksheet consolidates output from each of the federates. Since each federate generates a hazard rate as an output, the CALM Cockpit uses these individual Hazards, to produce an aggregate Cumulative Hazard and numerically integrated Cumulative Failure Probability. Shown below are individual federate tabular outputs and aggregated failure probabilities in graphical form.

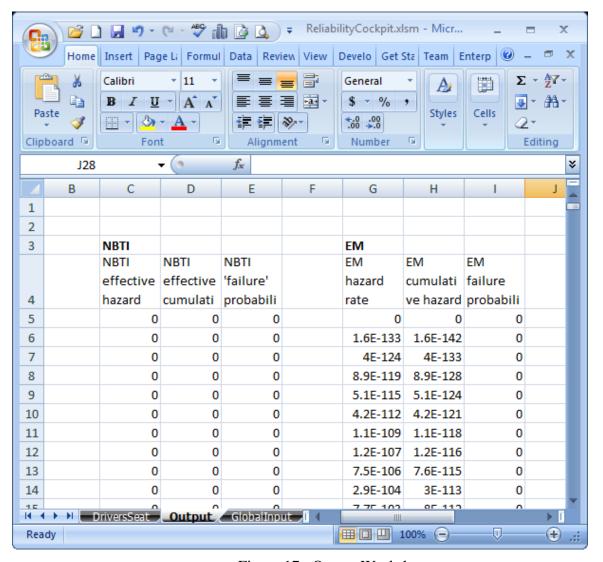


Figure 17: Output Worksheet

The CALM Cockpit produces standard graphs of the columnar output for each federate. It also produces a graph showing the overall reliability of the federation, as shown below in Figure 18.

The analyst also has the complete Excel toolset at their disposal for analyzing this output.

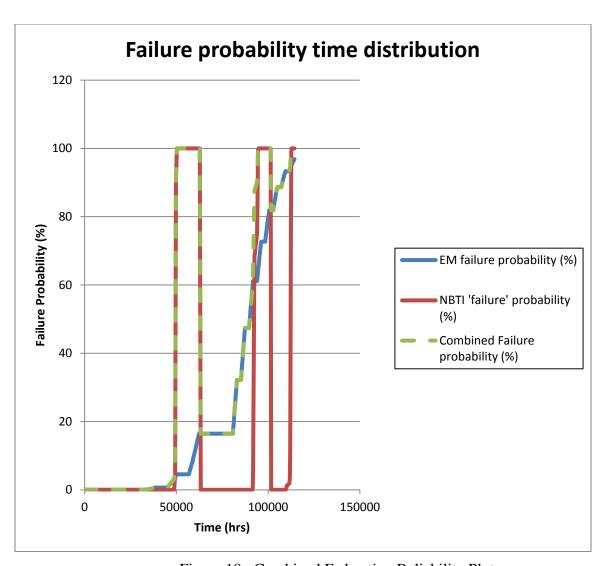


Figure 18: Combined Federation Reliability Plot

## 3.5 Comparison Tool

The CALM Cockpit also provides a comparison tool to aid testing in its 'CompareTool' worksheet. The tool allows you to compare two ranges of cells in Excel workbooks. The compare tool may be used for comparing federate results with CALM Cockpit results.

#### 4. Trusted Locations

The CALM Cockpit and Federate workbooks rely on macros to operate correctly. On some systems, Excel macros are disabled by default.

All CALM workbooks should be placed in a 'trusted location' for seamless enabling of macros. Trusted locations are directories on your file system where Microsoft Office macros may be run without being checked by Excel's Trust Center security feature.

Create a trusted location by following these steps (copied from the website below, and subject to change with future releases of Microsoft Excel):

- 1) Click the Microsoft Office Button Button image, and then click Excel Options.
- 2) Click Trust Center, click Trust Center Settings, and then click Trusted Locations.
- 3) If you want to create a trusted location that is not local to your computer, select the Allow trusted locations on my network (not recommended) check box.
- 4) Click Add new location.
- 5) IMPORTANT We recommended that you don't make your entire Documents or My Documents folder a trusted location. Doing so creates a larger target for a hacker to potentially exploit and increases your security risk. Create a subfolder within Documents or My Documents, and make only that folder a trusted location.
- 6) In the Path box, type the name of the folder that you want to use as a trusted location, or click Browse to locate the folder.
- 7) If you want to include subfolders as trusted locations, select the Subfolders of this location are also trusted check box.
- 8) In the Description box, type what you want to describe the purpose of the trusted location.
- 9) Click OK.

Please see the Microsoft documentation at the following link for further details:

http://office.microsoft.com/en-us/word-help/create-remove-or-change-a-trusted-location-for-your-files-HA010031999.aspx

## 5. Summary

This API was created to enable Reliability Systems Engineers to use low cost, readily available Microsoft Excel-based spreadsheet tools to develop physics-of-failure lifetime reliability models and to aid in sharing those individual failure mechanism models in the broader high reliability electronics community. The API provides a framework and cockpit to run these individual models stand-alone, to understand an individual failure mechanisms reliability impact on a full chip, and to enable aggregation of individual failure rates to a combined full-chip failure rate when modeled with all contributing failure models.

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